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Date of Deposit: February 11, 2002

Attorney Docket No. 20349-512 (OTT-12)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ART UNIT: 1645
APPLICANT: Christophe Bonny
SERIAL NO: 09/977,831
FILING DATE: October 15, 2001

FOR : INTRACELLULAR DELIVERY OF BIOLOGICAL EFFECTORS

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Box Sequence
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts mailed December 10, 2001, Applicant submits herein the following: copy of Form PTO-1533; Declaration and payment of the surcharge for filing (\$65.00) set forth in 37 C.F.R. § 1.16(e); Computer Readable Form copy of the "Sequence Listing" (1 diskette), Statement in Support of Computer Readable Form; paper copy of the "Sequence Listing" (12 pages); and Preliminary Amendment (1 page). This Response is due on or before February 11, 2002, February 10, 2002 being a Sunday.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 20349-512 (OTT-12).

Respectfully submitted,

Ivor R. Elrifi
Ivor R. Elrifi, Reg. No. 39,529
Attorney for Applicant
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
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TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

Response to Notice to File Missing Parts Mailed December 10, 2001 (1 pg.);
Copy of Notice to File Missing Parts of Nonprovisional Application (2 pgs.);
Combined Declaration and Power of Attorney for Patent Application (3 pgs.);
Check No. 11717 in the amount of \$65 to cover surcharge;
Computer Readable Form copy of the "Sequence Listing" (1 diskette);
Statement in Support of Computer Readable Form (1 pg.);
Paper Copy of "Sequence Listing" (12 pgs.);
Preliminary Amendment (2 pgs.);
Return Postcard.

The Commissioner is hereby authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 20349-512 (OTT-12). A duplicate copy of this Transmittal Letter is enclosed.

APPLICATION NO.

09/977,831

APPLICANT:

Christ ph B nny

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 45,409

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TRA 1629211v1



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/977,831

10/15/2001

Christophe Bonny

20349-512 (OTT-12)

CONFIRMATION NO. 4526

FORMALITIES LETTER



OC000000007177192

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

#3

Date Mailed: 12/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/15/2002 SZEWDIE1 00000100 09977831

01 FC:205

65.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE